

# Cosmological Perturbations

## Metric Perturbations

Consider small perturbations  $h_{\mu\nu}$  to the flat FRW metric:  $g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$

It is useful to decompose the perturbed metric in a scalar, vectorial and tensorial part. (Compare to vector fields in 3D-Euclidean space:  $\vec{v} = \vec{v}_1 + \vec{v}_2$  with  $\vec{\nabla} \cdot \vec{v}_1 = 0$  and  $\vec{\nabla} \times \vec{v}_2 = 0 \Rightarrow \vec{v}_1 = \vec{\nabla} \alpha$ ,  $\vec{v}_2 = \vec{\nabla} \times \vec{A}$ )

$$\Rightarrow h_{00} = -E$$

$$h_{0i} = a \left[ \frac{\partial F}{\partial x^i} + G_i \right]$$

$$h_{ij} = a^2 \left[ A \delta_{ij} + \frac{\partial^2 B}{\partial x^i \partial x^j} + \frac{\partial C_i}{\partial x^j} + \frac{\partial C_j}{\partial x^i} + D_{ij} \right]$$

$A, B, E, F$  scalars,  $C_i, G_i$ : divergenceless vectors and  $D_{ij}$  traceless, symmetric, divergenceless tensor.  
 $h_{\mu\nu}$  has 10 degrees of freedom.

The decomposition theorem states that a scalar, vector and tensor perturbation modes do not couple to first order, i.e. they evolve independently.

$\Rightarrow$  Einstein's field equations can be solved for each mode separately.

## Gauge Transformations

Consider a spacetime coordinate transformation  $x^\mu \rightarrow x'^\mu = x^\mu + \epsilon^\mu(x)$

A coordinate transformation affects both the coordinates of perturbed and unperturbed fields. For gauge transformations, we keep the coordinate system but change the metric tensor in a way that leaves the physics invariant.

Gauge transformations correspond to changes in the metric perturbations:

$$\Delta h_{\mu\nu} = h'_{\mu\nu} - h_{\mu\nu} = -\frac{\partial \epsilon^2}{\partial x^\mu} \bar{g}_{\mu\nu} - \frac{\partial \epsilon^k}{\partial x^\mu} \bar{g}_{k\nu} - \frac{\partial \bar{g}_{\mu\nu}}{\partial x^\mu} \epsilon^2$$

$$\Rightarrow \Delta h_{00} = -2 \frac{\partial \epsilon_0}{\partial t}$$

$$\Delta h_{0i} = -\frac{\partial \epsilon_i}{\partial t} + \frac{2}{a} \frac{da}{dt} \epsilon_i - \frac{\partial \epsilon_0}{\partial x^i}$$

$$\Delta h_{ij} = -\frac{\partial \epsilon^i}{\partial x^j} - \frac{\partial \epsilon^j}{\partial x^i} + 2a \frac{da}{dt} \delta_{ij} \epsilon_0$$

} transformation of metric perturbations under gauge transformations

By decomposing  $\epsilon_i = \frac{\partial \epsilon^{(0)}}{\partial x^i} + \epsilon_i^{(v)}$  into a scalar and vectorial part, we can relate the decomposed parts of the perturbation to it:

$$\Delta A = \frac{2}{a} \frac{da}{dt} \epsilon_0$$

$$\Delta B = -\frac{2}{a^2} \epsilon^S$$

$$\Delta E = 2 \frac{d\epsilon_0}{dt}$$

$$\Delta F = \frac{1}{a} \left( -\epsilon_0 - \frac{d\epsilon^S}{dt} + \frac{2}{a} \frac{da}{dt} \epsilon^S \right)$$

## Choice of Gauge:

i) Newtonian gauge:  $g_{00} = -1 - 2\Phi$ ,  $g_{0i} = 0$ ,  $g_{ij} = a^2 \delta_{ij} [1 + 2\Phi]$

ii) Synchronous gauge:  $g_{00} = -1$ ,  $g_{0i} = 0$ ,  $g_{ij} = a^2 \left[ (1 + \Phi) \delta_{ij} + \frac{\partial^2 \Phi}{\partial x^i \partial x^j} \right]$

The gauges can be "chosen" by choosing  $\epsilon^0$ ,  $\epsilon^i$  and  $\epsilon^\nu$  appropriately.

## Geometrical Interpretation of Gauge Transformation:

To define perturbation, we need to compare two manifolds to each other. Then we can define  $h_{\mu\nu} \equiv (\bar{g}^{\mu\nu}) - \bar{g}_{\mu\nu}$

The choice of  $\Phi$  is not strictly constrained, which gives rise to the freedom of gauge choice. There are many permissible mappings  $\Phi$  so that the perturbation is small.

Consider map  $\lambda: \bar{M} \rightarrow \bar{M}$  induced by a vector field  $\epsilon^{\mu}$  on  $\bar{M}$ , i.e.  $x^{\mu} \mapsto x^{\mu} + \epsilon^{\mu}$

Then  $(\Phi \circ \lambda^{-1})$  is a new mapping between  $M$  to  $\bar{M}$  which induces new metric perturbations:

$$h_{\mu\nu}^{(1)} = [(\Phi \circ \lambda)^{-1} g]_{\mu\nu} - \bar{g}_{\mu\nu} = [1^{-1} \Phi^{-1} g]_{\mu\nu} - \bar{g}_{\mu\nu} + h_{\mu\nu} = (\Phi^{-1} g_{\mu\nu}) - \bar{g}_{\mu\nu}$$

All possible infinitesimal  $\lambda$  correspond to possible gauge transformations.

A coordinate transformation corresponds to relabelling of the coordinates. A gauge transformation is a choice of mapping between  $M$  and  $\bar{M}$ : The problem is that some gauge transformations look like physical perturbations, but aren't. You can think of gauge transformations as redefining the reference potential.

## Einstein Equations

Using the Newtonian gauge:  $g_{00} = -1 - 2\Phi$ ,  $g_{0i} = 0$ ,  $g_{ij} = (1 + 2\Phi) a^2 \delta_{ij}$

The Einstein equations can be decomposed in perturbed and unperturbed parts:

$$(\bar{G}^{\mu\nu} + \delta G^{\mu\nu}) = 8\pi G (\bar{T}^{\mu\nu} + \delta T^{\mu\nu})$$

We only need 2 equations for  $\Phi$  and  $\Psi$ , and take the easiest choices:  $G^0_0$  and the traceless part of  $G^i_j$  (by applying a projection operator)

# Perturbed Boltzmann Equation

## Non-Relativistic Boltzmann Equation

The distribution function  $f(x^i, p^i, t) d^3x d^3p$  describes the number of particles in the phase space volume  $d^3x d^3p$  at the time  $t$  centered on  $x^i, p^i$ .

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial x^i} \frac{dx^i}{dt} + \frac{\partial f}{\partial p^i} \frac{dp^i}{dt}$$

Moments:

$$n = \int d^3p f$$

$$S_n = m \int d^3p f$$

$$r = \frac{1}{n} \int d^3p \frac{\vec{p}}{E} f$$

$$S_E = \int d^3p E f$$

$$P = \int d^3p \frac{p^2}{3E} f$$

When collisions are included:  $\frac{df}{dt} = C[f]$

$$\begin{aligned} C[f] = & \int d^3p_1 \int d^3p_2 \int d^3p_3 \int d^3p_4 \times \\ & \times \delta^3(\tilde{p}_1 + \tilde{p}_2 - \tilde{p}_3 - \tilde{p}_4) \times \\ & \times \delta^3(E_1 + E_2 - E_3 - E_4) \times \\ & \times |U|^2 \times \\ & \times (f_3 f_4 - f_1 f_2) \end{aligned}$$

sum over all momentum space/ interactions

conserves momentum

conserves energy

Scattering matrix

Sources - sinks

In equilibrium, the distribution function for non-relativistic particles is Maxwell-Boltzmann:  $f \propto e^{-E/T}$

## Relativistic Boltzmann Equation

In GR: 7 dof with  $\rho^\mu \rho_\mu = -m^2$

Boltzmann equation is the same as for non-relativistic.

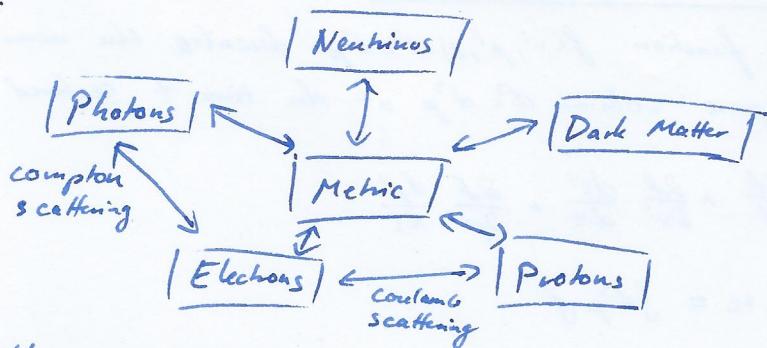
Moments are the same with factor  $\frac{1}{(2\pi)^3}$

$$T^{\mu\nu} = \frac{1}{(2\pi)^3} \frac{1}{E} \int d^3p \rho^\mu \rho^\nu f$$

Collision term is also the same with factor  $\frac{1}{E_i} \frac{1}{(2\pi)^3}$

## Interactions between particles

The distributions of the particle species are coupled to each other through interactions:



## Einstein - Boltzmann Equations

$$\frac{df_i}{dt} = C[f_i]$$

$$g_{00} = -(1+2\Phi), \quad g_{0i} = 0, \quad g_{ij} = \delta_{ij}a^2(1+2\Phi)$$

$$G_{\mu\nu} = \bar{G}_{\mu\nu} + \delta G_{\mu\nu} = 8\pi G(\bar{T}_{\mu\nu} + \Delta T_{\mu\nu})$$

$$T^{\mu\nu} = \int \frac{d^3 p}{(2\pi)^3} \rho^\mu \rho^\nu f$$

## Collisionless Boltzmann Equation for Photons

Keeping terms up to first order. For photons:  $\rho^\mu \rho_\mu = 0$

$\frac{df_i}{dt}$ ,  $\frac{df_i}{\partial t}$ ,  $\frac{\partial f_i}{\partial t}$  are already first order for an isotropic, homogeneous universe  
Use the geodesic equation.

Assume Boltzmann distribution for first order:  $f = \frac{1}{\exp[\frac{p^\mu}{T(1+\Theta)}] - 1} = f^{(0)}(p, T, \Theta)$   
with  $\Theta = \frac{\partial T}{T} = \Theta(x, \vec{p}, t)$ .

Insert into Boltzmann eqn, then separate terms by order, get 2 equations.

$\frac{df}{dt}|_{\text{zero}} = 0$ : There is no zeroth order collision term, because the zeroth-order distribution function is set precisely by the requirement that the collisions vanish, as equilibrium is expected.

For photons, the dominant scattering process is Compton scattering.

For non-relativistic electrons, we can approximate the scattering matrix to be Thomson scattering:  $|M|U|^2 = 8\pi G r_m c^2 \approx \text{const}$ , neglecting polarisation and angle dependence of  $|M|U|^2$ .

## Boltzmann Equation for CDM

Here  $\rho_{\text{DM}} \rho^\mu = -m^2$ , always non-relativistic. By solving, we see that there is a factor  $\frac{E}{E}$  in the Boltzmann function, which suppresses free streaming.

Integrating the first moment and separating the equations by orders gives two equations.

## The Boltzmann Equation for Baryons

= for electrons and baryons. Electrons and protons are coupled by Coulomb scattering. Their Coulomb scattering rate is much larger than the expansion rate at all times of interest and forces the electrons and protons overdensities to a common value.

$$\delta_b = \frac{\delta_e - \delta_e^{(0)}}{\delta_e^{(0)}} = \frac{\delta_p - \delta_p^{(0)}}{\delta_p^{(0)}}, \quad \vec{v}_b \equiv \vec{v}_e \approx \vec{v}_p$$

Starting point: Boltzmann equations for electrons and protons:

$$\frac{df_e}{dt} = \langle C_{ep} \rangle Q Q' q' + \langle C_{pp} \rangle p p' q'$$

$$\frac{df_p}{dt} = \langle C_{ep} \rangle q q' Q'$$

We get the equations by taking the zeroth and first moment of the Boltzmann eqn up to first order.

## Einstein Equations

First we compute the stress-energy tensor from the distribution function:

$$T^{\mu\nu}_{\text{univ}} = \sum_{\text{species}} T^{\mu\nu}_{(\text{S})} \quad T^{\mu\nu}_{(\text{S})} = \int \frac{d^3 p}{(2\pi)^3 E_S} p^\mu p^\nu f_S$$

Again we only look at the  $T^0_0$  and the  $T^i_j$  components to obtain 2 eqns. We only considered the longitudinal, particle part of  $G^i_j$ , which can be extracted by contracting  $G^i_j$  with the projection operator  $\hat{k}_i \cdot \hat{k}_j - \hat{Y}_3^{ij}$ , which kills all terms  $\propto \delta_{ij}$ .

The gravitational potentials  $\phi, \psi$  are equal and opposite unless the photons have appreciable quadrupole moments.

# Inhomogeneities

## Simplified Einstein-Boltzmann Equations

Simplifying assumptions: Focus on dark matter ( $S_{dm}$ ), thus neglect baryons and neutrinos. Furthermore neglect all  $l > 2$  moments for photons and polarization.

This is an ok approximation for early times  $a \ll a_{eq}$ , while in late matter dominated times, radiation has little impact on the metric, its only connection to dark matter.

$$\rightarrow \dot{T} \propto n_e \propto n_b \approx 0, \phi \approx \gamma$$

Simplified Equations:

$$\begin{aligned}\ddot{\Theta}_0 - k\Theta_1 &= -\dot{\phi} \\ \dot{\Theta}_1 - \frac{k}{3}\Theta_0 &= \frac{k}{3}\dot{\phi} \quad \left. \right\} \text{ by taking moments of Boltzmann eq}\end{aligned}$$

$$\dot{\sigma} + ikv = -3\dot{\phi}$$

$$\dot{v} + \frac{i}{a}\dot{v} = ik\dot{\phi}$$

$$k^2\dot{\phi} + 3\frac{\dot{a}}{a}(\dot{\phi} + \frac{i}{a}\dot{\phi}) = 8\pi G a^2 (S_{dm} \dot{\sigma} + 4S_r \Theta_0)$$

(Second Einstein eq only contains radiation and neutrinos)

with  $v_{\text{rel}}$ ,  $S_{dm} = S_{dm}^{(0)}(1+\delta)$ ,  $\phi$  from metric,  $\Theta = \frac{\partial T}{T}$ ,  $\Theta_L = (-1)^L \int d\mu P_L(\mu) \Theta(\mu)$

Legendre polynomials,  $P_L = \frac{R \cdot k}{r} \int d\mu P_L(\mu) \Theta(\mu)$

## Initial Conditions

Inflation provides a mechanism to produce primordial inhomogeneities, where microscopic quantum fluctuations are promoted to macroscopic fluctuations.

The perturbations are best described in terms of the Fourier modes. The mean of a Fourier mode  $\langle \Phi(k) \rangle = 0$ . The perturbations to one Fourier mode are uncorrelated with those to another. A given mode however has nonzero variance.

$$\langle \Phi(\vec{k}) \Phi^*(\vec{k}') \rangle = (2\pi)^3 P_\Phi(k) \delta^3(\vec{k} - \vec{k}')$$

For scalar perturbations:  $P_\Phi \propto k^{n-4}$

Primordial fluctuations are quantified by a power spectrum which gives the power of the variation as a spatial scale.

## Solving Simplified Einstein-Boltzmann equations

There are no known general analytic solutions.  $\rightarrow$  Do it numerically, or consider limits.  
First identify scale of the problem:

i) particle horizon  $\gamma = \int \frac{da}{a} \frac{1}{aH}$ ,  $\frac{1}{aH}$  = comoving Hubble radius

ii) matter-radiation equality  $a_{eq} \approx 1.5 \cdot 10^{-4}$

$\Rightarrow$  Superhorizon modes:  $k \ll \gamma^{-1}$  not subject to causal physics

Subhorizon modes:  $k \gg \gamma^{-1}$

Small scale modes:  $k \gg k_{eq}$  cross horizon while  $a \ll a_{eq}$

Large scale modes:  $k \ll k_{eq}$  cross horizon while  $a \gg a_{eq}$

$$k_{eq} \approx \frac{1}{a_{eq} H(a_{eq})} \approx 0.073 \text{ Mpc}^{-1}$$

Comoving wavelength  $\lambda \sim \frac{2\pi}{k}$  is constant over time, but the horizon grows. Once it crosses the horizon, causal physics begin to act.

## Large Scales

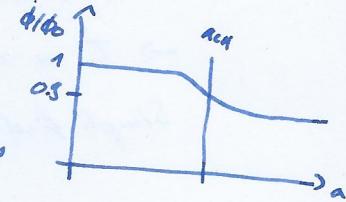
Large scales are superhorizon scales:  $k \ll aH$ . In this limit, drop all terms that contain  $k$ . We get  $\delta - \delta\theta_0 = \text{const}$ , which is given by the initial conditions:

- $\text{const} = 0$  adiabatic perturbations
- $\text{const} \neq 0$  isocurvature perturbations (not successful models)

Solution: Early times  $\phi \rightarrow \phi_0$

Late times  $\phi \rightarrow \frac{3}{10}\phi_0$

$\phi$  is constant in radiation and matter dominated epochs and drops 10% slowly in the transition.



## Small Scales and Early Times

Early times:  $a \ll a_{eq}$

In radiation dominated Universe, the potential is determined by the perturbation to the radiation. The dark matter perturbations are influenced by the potential, but do not themselves influence it significantly.  $\Rightarrow$  DM terms drop out

$\rightarrow$  First solve eqns for  $\theta_0$ ,  $\theta_1$  and  $\phi$ , then eqn for matter evolution, using the potential as an external driving force.

$$\text{Get } \boxed{\phi(y) = 3\phi_0 \frac{\sin(ky/\sqrt{3}) - (ky/\sqrt{3})\cos(ky/\sqrt{3})}{(ky/\sqrt{3})^3}}$$

$\Rightarrow$  As soon as a mode enters the horizon ( $ky=1$ ) its potential starts to decay  $(ky/\sqrt{3})^{-3}$ . After decaying, it oscillates. Smaller  $k$  enter the horizon later.

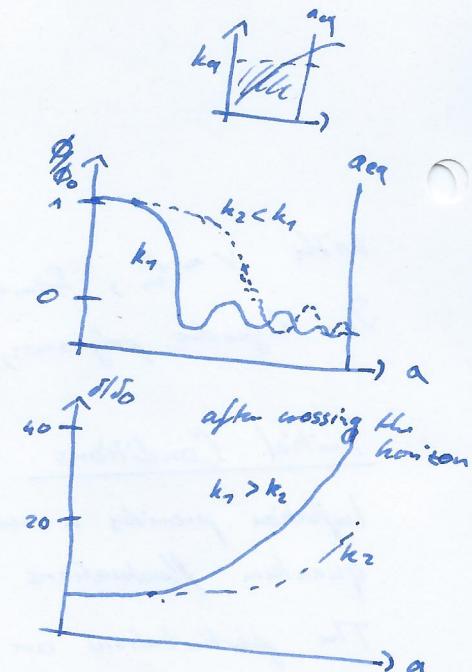
The evolution of the matter <sup>perturbations</sup> can now be computed, giving  $\delta \propto \text{const} + \ln(ky)$

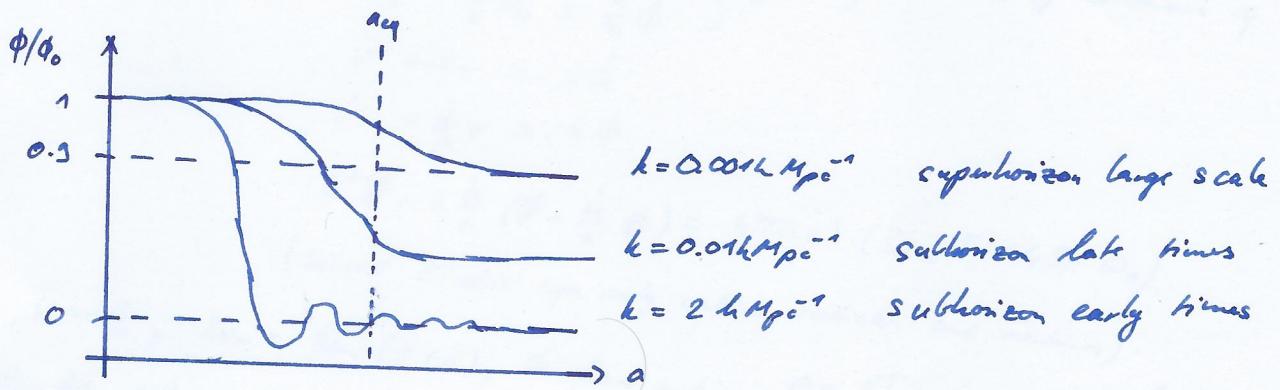
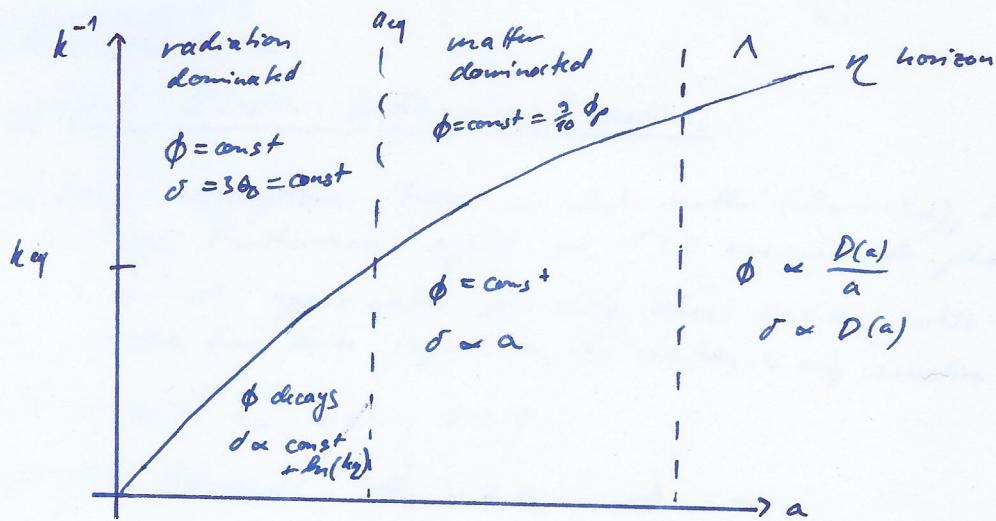
## Late Times and Subhorizon Case

$k \gg r_s, a \gg a_{eq}$ . Neglect  $\theta$  (radiation negligible), neglect  $\frac{1}{a}$  term in Einstein equation.

Solution:  $\delta \propto H \propto a$  in matter dominated era  $\rightarrow \delta \propto t^{-3/2}$

$$\phi \propto \frac{\delta}{a} = \text{const} \quad (\text{from Einstein eqn})$$





- The earlier the mode enters the horizon  $\Leftrightarrow$  The smaller the  $k$ , the more it decays
- $\phi$  only evolves during transitions and in  $\Lambda$ -dominated era

### Overview

Gravitational instability is most likely responsible for structure formation in our Universe.  
Schematically:  $\ddot{\delta} + [\text{Pressure - Gravity}] \delta = 0$

Gravity increases overdensities, but random thermal motion in overdense regions causes net particle loss.  $\Rightarrow$  For strong pressure, inhomogeneities grow, but oscillate.  
At late times, all modes evolve identically. To relate the potential during these times to the primordial  $\phi_p$  set up during inflation:

$$\phi(t, a) = \underbrace{\phi_r(t) \times \{\text{Transfer function } (k)\}}_{\substack{\text{horizon crossing, radiation} \\ \text{matter transition}}} \times \underbrace{\{\text{Growth function } (a)\}}_{\substack{\text{wavelength-independent} \\ \text{growth at late times}}}$$

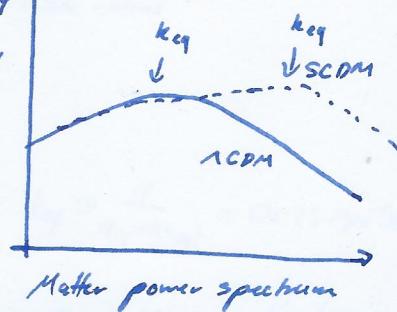
$\phi$  and  $\delta$  are related through the Einstein equations.

We can define a matter power spectrum  $\langle \delta(k, a) \delta^*(k', a') \rangle = (2\pi)^3 P_\delta(k, a) \delta^3(k - k')$

$$\text{We have } P_\delta(k) \propto k^n T(k)^2 \propto \left\{ \begin{array}{l} \propto k^n \\ \propto k^{-n/4} \ln^2(\frac{k}{k_{eq}}) \end{array} \right. \begin{array}{l} k > k_{eq} \\ \text{peaks at } k = k_{eq} \end{array}$$

The matter power spectrum can be probed using spectroscopic galaxy surveys.

Different models of dark energy predict different growth factors, giving different  $k_{eq}$ , where the peak is. This was a strong piece of evidence for dark energy.



# Anisotropies

## Large Scales

From Einstein-Boltzmann eqns for superhorizon modes and adiabatic initial conditions:

$$\Theta_0 + \gamma = \text{const}$$

At time of recombination (origin of coms)  $z_{\text{re}}$ :

$$(\Theta_0 + \gamma)(k, z_{\text{re}}) = \frac{k}{3} \gamma(k, z_{\text{re}}) = -\frac{k}{6} \delta(z_{\text{re}})$$

for the observed anisotropy at recombination

The observed anisotropy of an overdense region will be negative.

For large scale perturbations, overdense regions contain hotter photons. However, to get to us today they first must crawl out of their potential wells. In doing so, they lose energy, which more than compensates for the initial "overshoot".  $\Rightarrow$  When we observe hot spots on the sky today, we are actually observing underdense regions at the time of recombination.

It also allows us to relate:  $\frac{\delta T}{T} \propto -\frac{1}{6} \frac{\delta \gamma}{\delta}$

## Tightly Coupled Limit

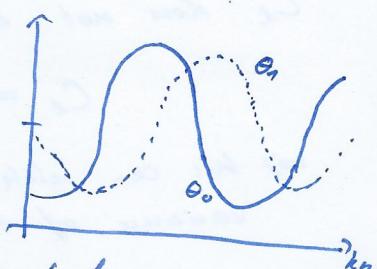
Tightly coupled limit:  $T \gg 1$ , before recombination at  $z_{\text{re}}$ , Compton scattering caused the electron-proton fluid to be tightly coupled.  $T = \int_{z_{\text{re}}}^{\infty} n_{\text{tot}} \alpha(z') dz'$   
In this limit, we can neglect high multipoles  $\ell \geq 2$ .

We get a damped harmonic oscillator equation for  $\Theta_0$ .

Neglecting the damping, we obtain oscillating solutions for  $\Theta_0$  and  $\Theta_1$ :  $S_1 = \sin(kr_s)$ ,  $S_2 = \cos(kr_s)$

$$r_s = r_s(z) = \int_0^z dz' S(z') = \int_0^z dz' \sqrt{\frac{1}{3(1 + \frac{s_0(z)}{s_p(z)})}} = \text{sound horizon}$$

$\Theta_0$  and  $\Theta_1$  are completely out of phase.



## Diffusion Damping

Diffusion is characterized by a small but nonnegligible quadrupole moment. With diffusion considered, modes with small  $k$  are damped because the photons can diffuse out of the potential wells and dilute the later.

We get exponential damping.

## Inhomogeneities to Anisotropies

Relating inhomogeneities to anisotropies.

Define visibility function  $g(y) = -\dot{\tau} e^{-\tau} \propto \delta(\eta_0)$ :  $\tau$  is large at early times ( $\rightarrow$  exponential suppression), and  $\dot{\tau}$  is small at late times (= scattering rate)  $\xrightarrow{t \rightarrow \infty}$

$$\text{We get } \Theta_l(k, \mu, \nu) = \int_0^{\eta_0} dy g(y) [\Theta_0(k, y) + \dot{\Theta}(k, y)] j_l[\ell(\eta_0 - y)] \quad \text{monopole}$$

$$- \int_0^{\eta_0} dy g(y) \frac{i v_0(k, y)}{k} \frac{d}{dy} j_l[\ell(\eta_0 - y)] \quad \text{dipole}$$

$$+ \int_0^{\eta_0} dy e^{-\tau} [\dot{\nu}(k, y) - \dot{\theta}(k, y)] j_l[\ell(\eta_0 - y)] \quad \text{ISW}$$

ISW: Integrated Sachs-Wolfe term arises as a small correction if the potentials are not time independent.

We got this equation from full EB-equation.

## Power Spectrum of the CMB

Ausatz: Decompose  $\Theta$  [from  $T = T(\eta) (1+\Theta)$ ] in terms of spherical harmonics:

$$\Theta(\vec{x}, \hat{p}, \nu) = \sum_{l=0}^{\infty} \sum_{m=-l}^l a_{lm}(\vec{x}, \nu) Y_{lm}(\hat{p})$$

All the information in the temperature field  $T$  is also contained in the space-time dependent amplitudes  $a_{lm}$ .

$$\langle a_{lm} \rangle = 0; \quad \langle a_{lm} a_{l'm'}^* \rangle = \delta_{ll'} \delta_{mm'} C_l = \text{variance.}$$

$C_l$  does not depend on  $m$  and can be related to the matter power spectrum:

$$C_l = \frac{2}{\pi} \int_0^{\infty} dk k^2 P(k) \left| \frac{\partial \Theta(k)}{\partial k} \right|$$

$\Rightarrow$  We can relate the power spectrum and the multipoles moments with the variance of the amplitudes of  $\Theta$ .

i) Large scale

$$l(l \ll 1) C_l^{\text{SW}} = \text{const. for tilt } n=1$$

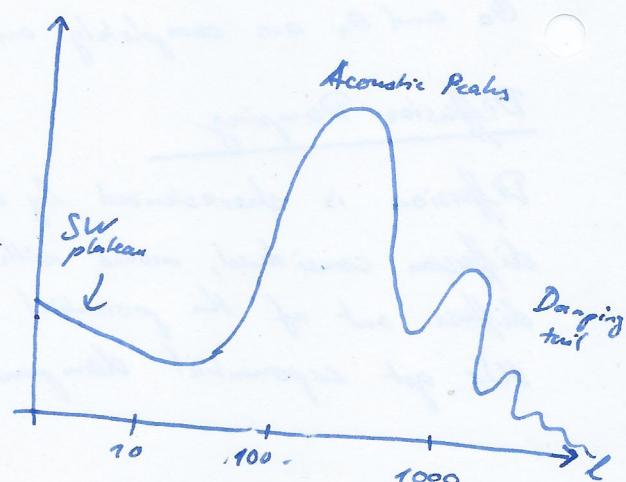
$\Rightarrow$  we expect a plateau, but  $n \neq 1$  in general (but close) and there are also small contributions from dipoles and SW-effects for LCOM.

ii) Intermediate Scales

Acoustic oscillations starting at  $l \approx 200$

iii) Small scales

Diffusion damping starting at  $l \approx 1000$



## Cosmological Parameters

- Curvature causes a shift in the location of the peaks. 1st peak is at higher  $l$  for an open Universe.
- $\Omega_m, \Omega_r, r, n$  move spectrum up and down, hardly change its shape
- $S_m, S_r, S_b$  induce small shifts in the location of peaks/throngs in the spectrum.

## Initial Perturbations from Inflation

Principle of inflation: in an early universe  $t; t < t_f$  there is a period during which the Hubble constant was actually approximately a constant.

$$H = \frac{1}{a} \frac{da}{dt} \approx \text{const} \Rightarrow a(t) = a_0 e^{H(t-t_0)}$$

$\Rightarrow$  Hubble radius  $\frac{1}{aH} \propto a^{-1}$  decreased. Modes of interest were initially causally connected, then left the bubble radius because it decreased, and re-entered it after inflation again.

### Scalar Field Perturbations

Decompose scalar field:  $\phi(\vec{x}, t) = \phi^{(0)}(t) + \delta\phi(\vec{x}, t)$

$$\text{To zeroth order we have } S = -T^{(00)}_0 = \frac{1}{2} \left( \frac{d\phi^{(0)}}{dt} \right)^2 + V(\phi^{(0)})$$

$$P = T^{(0i)}_i = \frac{1}{2} \left( \frac{d\phi^{(0)}}{dt} \right)^2 - V(\phi^{(0)})$$

With the slow roll condition  $\left( \frac{d\phi^{(0)}}{dt} \right)^2 \ll V(\phi^{(0)})$ :  $H = \sqrt{\frac{8\pi G_N}{3}} \approx \sqrt{\frac{8\pi G_N r}{3}} \approx \text{const}$

From the Einstein equations we get

$$\begin{aligned} \frac{d^2\phi}{dt^2} + 3H \frac{d\phi^{(0)}}{dt} + \frac{dV(\phi)}{d\phi^{(0)}} &= 0 \\ \Rightarrow \boxed{\ddot{\phi}^{(0)} + 2aH \dot{\phi}^{(0)} + a^2 V' = 0} \end{aligned}$$

Giving us a second order differential equation for the inflaton.

To quantify the slow roll, introduce two parameters:

$$\epsilon = \frac{d}{dt} \left( \frac{1}{H} \right)$$

$$\sigma = \frac{1}{H} \frac{d^2\phi^{(0)}}{dt^2}$$

$$\epsilon, \sigma \xrightarrow{\phi \text{ const}} 0$$

The slow roll condition is given by  $\epsilon, \sigma \ll 1$ .

### Tensor Modes

Vector, Scalar and Tensor modes evolve independently. Look at  $h_{00} = h_{0i} = 0$ ,  $h_{ij} = \delta_{ij} a^2$

$D_{ij}$  has  $g - 3 - 1 - 3 = 2$  degrees of freedom.  
symmetric traceless

Ansatz: Choose coordinate system so that  $\vec{k} = k \hat{\vec{e}}_2$ .  $\hat{h}_{ij} = \begin{pmatrix} h_{xx} & h_{xy} & 0 \\ h_{yx} & -h_{xx} & 0 \\ 0 & 0 & 0 \end{pmatrix}$

Computing the Einstein equations give  
(small radiation contribution neglected)

$$\ddot{h}_{xx} + 2 \frac{\dot{a}}{a} \dot{h}_{xx} + k^2 h_{xx} = 0$$

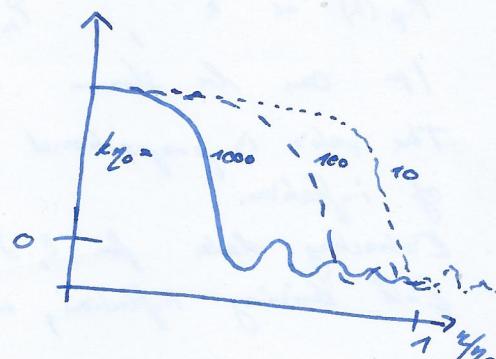
$$\alpha = +, -$$

This is a wave equation, corresponding solutions are called gravity waves.  
The expansion produces a friction term  $\propto i \omega$ .

Superluminal modes: constant

Subluminal modes: oscillating, but decaying.

Only large-scale anisotropies are impacted by gravity waves. At decoupling,  $k_{D0} \lesssim 100$  persists.



## Generation of Tensor Modes during Inflation

Define  $\tilde{h} \equiv \frac{ah}{\sqrt{16\pi G}}$   $\Rightarrow \ddot{\tilde{h}} + (k^2 - \frac{\ddot{a}}{a})\tilde{h} = 0$  harmonic oscillator eqn

It has no damping term. We quantize in the Heisenberg picture ( $\hat{x}(t), \hat{p}$ ) and relate it to the quantum harmonic oscillator:

$$\hat{h}(t, y) = v(k, y) \hat{a}_k + v^*(k, y) \hat{a}_k^\dagger$$

Then  $\langle \hat{h}^\dagger(t_1, y) \hat{h}(t_2, y) \rangle = \frac{16\pi G}{a^2} |v(k, y)|^2 (2\pi)^3 \delta^3(t_2 - t_1) P_h$

$$\Rightarrow P_h = 16\pi G \frac{|v(k, y)|^2}{a^2} = \frac{8\pi G H^2}{k^3}$$

Primordial power spectrum of gravitational waves.

The primordial power spectrum is constant after inflation until the mode reaches the horizon with  $H \propto \sqrt{k} \approx \sqrt{V}$ .

## Generation of Scalar Perturbations.

Decomposing the inflation scalar field:  $\phi = \phi^{(0)} + \delta\phi$

We get  $\ddot{\delta\phi} + 2aH\dot{\delta\phi} + k^2 \delta\phi = 0$  where we neglected a small term in  $V$ .

This is identical to the tensor perturbation equation to the metric.

The power spectrum is given by  $P_{\delta\phi} = \frac{H^2}{2k^3}$

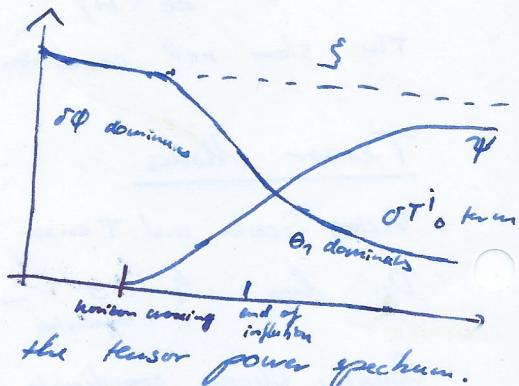
This are the perturbations to the inflaton field. But how does it couple to the metric?

$\rightarrow$  Define  $\xi = \frac{i k_i \delta T^0_i}{k^0 (S + P)} \sim \eta = \text{const}$  which is conserved at large scales across horizon crossing.

We get  $P_\eta|_{\text{after}} = P_\delta|_{\text{after}} = \frac{8\pi G}{9k^3} \frac{H^2}{\epsilon}$

Perturbations start off as  $\delta\phi$  dominated, up to horizon crossing and ends up  $\eta$  and  $\Theta_1$  dominated at the end of inflation.

$P_\eta$  ends up enhanced by  $\frac{1}{\epsilon} = (\frac{d}{dt}(\frac{H}{\epsilon}))^{-1}$  compared to the tensor power spectrum.



## Observational Stuff

A spectrum in which  $k^3 P$  is constant ( $\tilde{\epsilon}$  does not depend on  $k$ ) is called scale free.

To quantify the deviations from scale invariance, it is conventional to write

$$P_\eta(k) \propto k^{n_\eta}, \quad P_h(k) \propto k^{n_h - 3}$$

It can be shown that  $n = 1 - 4\epsilon - \delta$ ,  $n_h = -2\epsilon$ ,  $\epsilon \propto \frac{P_h}{P_\eta}$

The ratio is proportional to the spectral indices  $n$ ,  $n_h$ , which is a generic prediction of inflation.

Extracting data for  $\epsilon$ ,  $\delta$  and  $\frac{P_h}{P_\eta}$  is tantamount to probing the potential of the field driving inflation, which is thought to be at  $10^{15} \text{ GeV}$  scale.